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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/689,091	10/20/2003	Yuan-Chi Chang	YOR920030385US1 (8728-644)	3809
46069 7590 12/26/2006 F. CHAU & ASSOCIATES, LLC 130 WOODBURY ROAD WOODBURY, NY 11797			EXAMINER HARPER, LEON JONATHAN	
			ART UNIT 2166	PAPER NUMBER
SHORTENED STATUTORY PERIOD OF RESPONSE			MAIL DATE	DELIVERY MODE
3 MONTHS			12/26/2006	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Office Action Summary

Application No.

10/689,091

Applicant(s)

CHANG, YUAN-CHI

Examiner

Leon J. Harper

Art Unit

2166

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 18 September 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>1/27/2005</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

1. The amendment filed 9/18/2006 has been entered. Claims 1,15 and 26 have been amended. Accordingly claims 1-36 are pending in this office action

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 5797007 (hereinafter Erick) in view of US 20030140308 (hereinafter Murthy).

As for claim 1 Erick discloses: automatically generating a persistent storage structure in a persistent storage medium based on the determined logical structures and

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properties of the declared entities (See column 4 lines 34-39); and automatically generating an interface for the persistent storage structure, wherein the interface comprises entity classes that are automatically generated to enable access to entity instances in the persistent storage structure (See column 4 lines 63-66).

While Erick does not differ substantially from the claimed invention, the disclosure of receiving as input an entity definition, parsing the entity definition to determine logical structures and properties for declared entities is not necessarily explicitly stated. Murthy however does disclose receiving as input an entity definition (See paragraph 0032), parsing the entity definition to determine logical structures and properties for declared entities (See paragraph 0117). It would have been obvious to an artisan of ordinary skill in the pertinent art to have incorporated the teachings of Murthy into the system of Erick. The modification would have been obvious because languages like XML are becoming more and more frequent, and databases are not made to fit every possible object that can be derived from such languages (See Murthy paragraph 0016). An automatic method of persistent storage will allow the full structure of languages such as XML to be fully used and will allow for optimal performance (See Murthy paragraph 0018).

As for claim 2, the rejection of claim 1 is incorporated, and further Murthy discloses: wherein the entity definition comprises a declaration of an object, one or more properties of the object, and a data type for each property (See paragraph 0052).

As for claim 3, the rejection of claim 1 is incorporated, and further Murthy discloses: wherein the persistent storage structure comprises a database table (See paragraph 0033).

As for claim 4, the rejection of claim 1 is incorporated, and further Murthy discloses: wherein the persistent storage structure comprises a file directory (See paragraph 0075).

As for claim 5, the rejection of claim 1 is incorporated, and further Erick discloses: wherein the persistent storage medium comprises a hard disk, a readable /writable CD or a floppy disk (See column 4 lines 35-37).

As for claim 6, the rejection of claim 1 is incorporated, and further Murthy discloses: wherein the method is implemented in a database system (See paragraph 0032).

As for claim 7, the rejection of claim 6 is incorporated, and further Murthy discloses: wherein the database system is a relational database (See paragraph 0034).

As for claim 8, the rejection of claim 1 is incorporated, and further Erick discloses: wherein the step of automatically generating an interface for accessing the

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persistent storage medium comprises automatically creating methods for one of storing, retrieving, searching, and removing entity instance data in the persistent storage medium (See column 4 lines 55-65).

As for claim 9, the rejection of claim 1 is incorporated, and further Murthy discloses: automatically generating an index to persistent stored data (See paragraph 0141).

As for claim 10, the rejection of claim 1 is incorporated, and further Murthy discloses: wherein the step of automatically generating an index to persistent stored data comprises generating an index to persistent stored data that is frequently accessed as determined by a predefined indicator (See paragraph 0141).

As for claim 11, the rejection of claim 1 is incorporated, and further Erick discloses: automatically adapting the persistent storage structure or the access interface for a new entity definition (See column 4 lines 55-58).

As for claim 12, the rejection of claim 1 is incorporated, and further Erick discloses: automatically optimizing the persistent storage system to improve search efficiency or storage scalability (See column 4 lines 60-65 note: methods are optimized).

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As for claim 13, the rejection of claim 1 is incorporated, and further Murthy discloses: automatically creating a cache memory for storing an entity instance that is accessed from the persistent storage medium (See paragraph 0164).

As for claim 14, the rejection of claim 1 is incorporated, and further Erick discloses automatically populating the persistent storage structure with entity instance data (See column 4 lines 34-38), while Murthy discloses: : receiving an entity instance declaration (See paragraph 0032).

Claims 15-25 are program storage device claims corresponding to method claims 1-4, 8-14 respectively, and are thus rejected for the same reasons as set forth in the rejection of claims 1-4, 8-14.

Claim 26 is a system claims corresponding to the method of claim 1 and is thus rejected for the same reasons as set forth in the rejection of claim 1.

As for claim 27, the rejection of claim 26 is incorporated, and further Murthy discloses: a database system comprising the persistent storage structure of claim 26 (See paragraph 0032).

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As for claim 28, the rejection of claim 26 is incorporated, and further Murthy discloses: an enterprise application comprising the persistent storage structure of claims 26 (See paragraph 0203 note: the application may be enterprise).

As for claim 29, the rejection of claim 26 is incorporated, and further Murthy discloses: wherein the persistent storage structure comprises a database table (See paragraph 0033).

As for claim 30, the rejection of claim 26 is incorporated, and further Murthy discloses: wherein the persistent storage structure comprises a file directory (See paragraph 0075).

As for claim 31 the rejection of claim 26 is incorporated, and further Erick discloses: wherein the access methods comprise methods for one of storing, retrieving, searching, and removing entity instance data in the persistent storage medium (See column 4 lines 55-65).

As for claim 32, the rejection of claim 26 is incorporated, and further Murthy discloses: wherein the autonomous persistent storage system is an electronic catalog system (See paragraph 0225).

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As for claim 33, the rejection of claim 26 is incorporated, and further Murthy discloses: wherein the autonomous persistent storage system further comprises an index creation module for automatically generating an index to persistent stored data (See paragraph 0141).

As for claim 34, the rejection of claim 26 is incorporated, and further Murthy discloses: wherein the autonomous persistent storage system further comprises a cache memory module for automatically storing an entity instance that is accessed from the persistent storage medium (See paragraph 0164).

As for claim 35, the rejection of claim 26 is incorporated, and further Erick discloses: wherein the autonomous persistent storage system further comprises means for automatically populating a persistent storage structure with entity instance data that is input to the system (See column 4 lines 55-58).

As for claim 36, the rejection of claim 26 is incorporated, and further Murthy disclose An e-service that implements the system of claim 26 for providing a data management service based on a fee agreement or service level agreement (See paragraph 0225 the two way communication is explicitly for service).

Response to Arguments

Applicant's arguments filed 9/18/2006 have been fully considered but they are not persuasive.

Applicant argues:

In particular, by way of example, the combination of Erickson and Murthy does not disclose a method for generating autonomous persistent storage systems based on entity definition, where the entity definition is parsed to determine logical structures and properties for declared entities and a persistent storage structure in a persistent storage medium is automatically created based on the determined logical structures and properties of the declared entities, as essentially claimed in claims 1, 15 and 26. Moreover, the cited references do not disclose automatically generating an interface comprising entity classes that are automatically generated to enable access to entity instances in the persistent storage structure.

Examiner responds:

Examiner is not persuaded. Examiner is entitled to give claim limitations their broadest reasonable interpretation in light of the specification. Interpretation of Claims- Broadest Reasonable Interpretation: during patent examination, the pending claims must be 'given the broadest reasonable interpretation consistent with the specification.' Applicant always has the opportunity to amend the claims during prosecution and broad interpretation by the examiner reduces the possibility that the claim, once issued, will be interpreted more broadly than is justified. In re Prater, 162 USPQ 541,550-51 (CCPA

1969). In this case Murthy does disclose parsing an entity Erickson discloses generating a persistent storage structure based on the determined logical structures. Erickson uses a EncoderDecorder function that reformats object instance data and generates a persistent storage based on the reformatting (See col4 lines 32-41).

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leon J. Harper whose telephone number is 571-272-0759. The examiner can normally be reached on 7:30AM - 4:00Pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain T. Alam can be reached on 571-272-3978. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

LJH
Leon J. Harper
December 19, 2006


Mohammad Ali
MOHAMMAD ALI
PRIMARY EXAMINER